

Bernstein-Sato polynomials in positive characteristic

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- “Bernstein-Sato theory for arbitrary ideals in positive characteristic”
arXiv1907.07297
- “Bernstein-Sato roots for monomial ideals in prime characteristic”
arXiv1907.11709

Story over \mathbb{C}

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- $R = \mathbb{C}[x, y], \mathfrak{a} = (x^2, y^3)$
 $\rightsquigarrow b_{\mathfrak{a}}(s) = (s + \frac{5}{6})(s + \frac{7}{6})(s + \frac{8}{6})(s + \frac{9}{6})(s + \frac{10}{6})(s + \frac{12}{6}).$

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Theorem (Kashiwara, Budur-Mustařă-Saito)

The roots of $b_{\mathfrak{a}}(s)$ are rational and negative.

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- (1) Can we define Bernstein-Sato polynomial in characteristic $p > 0$?
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We will only be able to define an analogue of the roots.

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 $\text{minpoly}(s_1 \curvearrowright N) \in k[s]$, and we want to encode information about F -jumping numbers of $\mathfrak{a} (\subseteq \mathbb{Q})$

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now we get an infinite family

$$s_{p^i} := - \sum_{\substack{(a_1, \dots, a_r) \in \mathbb{N}_0^r \\ a_1 + \dots + a_r = p^i}} \partial_{t_1}^{[a_1]} t_1^{a_1} \dots \partial_{t_r}^{[a_r]} t_r^{a_r} \quad (i = 0, 1, 2, \dots)$$

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$$\implies N^e = \bigoplus_{\alpha \in \mathbb{F}_p^e} N_\alpha^e$$

where, for $\alpha = (\alpha_0, \alpha_1, \dots, \alpha_{e-1}) \in \mathbb{F}_p^e$,

$$N_\alpha^e = \{u \in N^e : s_{p^i} \cdot u = \alpha_i u \text{ for all } i = 0, 1, \dots, e-1\}.$$

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Summary:

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Theorem (QG19)

We have

$$N = \bigoplus_{\alpha \in \mathbb{F}_p^{\mathbb{N}}} N_\alpha$$

where, for $\alpha = (\alpha_0, \alpha_1, \dots)$, $N_\alpha := \{u \in N : s_{p^i} \cdot u = \alpha_i u \text{ for all } i \in \mathbb{N}_0\}$.

Moreover, $\#\{\alpha \in \mathbb{F}_p^{\mathbb{N}} : N_\alpha \neq 0\} < \infty$.

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where

$$N_\lambda = \{u \mid (s_1 - \lambda)^m u = 0 \text{ for } m \gg 0\},$$

and

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Definition

The definition when $\text{char } k = p > 0$

Summary:

Over \mathbb{C}

$$N \curvearrowright s_1$$

$$b_a(s) := \text{minpoly}(s_1 \curvearrowright N)$$

$$N = \bigoplus_{\lambda \in \mathbb{C}} N_\lambda$$

where

$$N_\lambda = \{u \mid (s_1 - \lambda)^m u = 0 \text{ for } m \gg 0\},$$

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When $\text{char } k = p > 0$

$$N \curvearrowright s_{p^0}, s_{p^1}, s_{p^2}, \dots$$

$$N = \bigoplus_{\alpha \in \mathbb{F}_p^{\mathbb{N}}} N_\alpha$$

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The definition when $\text{char } k = p > 0$

Recall:

$$N = \bigoplus_{\alpha \in \mathbb{F}_p^{\mathbb{N}}} N_{\alpha}, \text{ and } \#\{\alpha \in \mathbb{F}_p^{\mathbb{N}} : N_{\alpha} \neq 0\} < \infty$$

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Definition

A p -adic integer $\alpha = \alpha_0 + p\alpha_1 + p^2\alpha_2 + \cdots \in \mathbb{Z}_p$ (where $\alpha_i \in \{0, 1, \dots, p-1\}$) is a **Bernstein-Sato root** of \mathfrak{a} if $N_{(\alpha_0, \alpha_1, \dots)} \neq 0$.

Results

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$$BS(\mathfrak{a}_p) = \{\text{Roots of } b_{\mathfrak{a}_\mathbb{C}}(s)\}.$$

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Proposition (QG19)

We have

$$BS(\mathfrak{a}) = \bigcap_{e=0}^{\infty} \overline{\nu_{\mathfrak{a}}^{\bullet}(p^e)}$$

where $(-)$ denotes p -adic closure.

Example 1: Suppose $R = k[x, y]$ and $\mathfrak{a} = (x^2 + y^3)$.

(a) When $k = \mathbb{C}$, $b_{\mathfrak{a}}(s) = (s + \frac{5}{6})(s + 1)(s + \frac{7}{6})$.

(b) When $\text{char } k \equiv 1 \pmod{3}$ then $BS(\mathfrak{a}) = \{-5/6, -1\}$

(c) When $2 \neq \text{char } k \equiv 2 \pmod{3}$ then $BS(\mathfrak{a}) = \{-1\}$.

Example 2: Suppose $R = k[x, y]$ and $\mathfrak{a} = (x^2, y^3)$.

(a) When $k = \mathbb{C}$,

$$b_{\mathfrak{a}}(s) = (s + 5/6)(s + 7/6)(s + 4/3)(s + 3/2)(s + 5/3)(s + 2).$$

(b) When $\text{char } k = 2$, $BS(\mathfrak{a}) = \{-4/3, -5/3, -2\}$.

(c) When $\text{char } k = 3$, $BS(\mathfrak{a}) = \{-3/2, -2\}$.

(d) When $\text{char } k > 3$, $BS(\mathfrak{a}) = \{-5/6, -7/6, -4/3, -3/2, -5/3, -2\}$.

Open questions

(1) Suppose $\text{fpt}(\mathfrak{a}) \in \mathbb{Z}_{(p)}$. Is $-\text{fpt}(\mathfrak{a})$ the largest Bernstein-Sato root?

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 - We know this is true for principal ideals [Bitoun, 2018] and for monomial ideals when $p \gg 0$.
- (2) Can we define an analogue of multiplicity in characteristic $p > 0$?

Thank you for your attention